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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09896,705	06/29/2001	Robert E. Sutton	CENT.01USU1

CONFIRMATION NO. 9102

FORMALITIES LETTER



OC00000006390039

Gochran & Collins LLP
3555 Stanford Road
Fort Collins, CO 80525

Date Mailed: 08/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

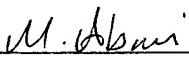
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

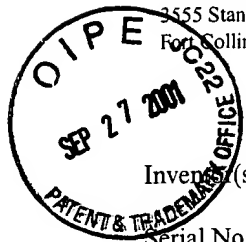
PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/03/2001 MWOLDER1 00000078 09896705

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65.00 OP

The Law Offices of
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10-01-01

PATENT APPLICATION

DOCKET NO.: CENT 01US01

#/Sector

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IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Robert E. Sutton

Examiner:

Serial No.: 09/896,705

Group Art Unit: 2161

Filing Date: June 29, 2001

Title: Method for Providing Financial and Risk Management

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS (SMALL ENTITY)

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(d) mailed on August 10, 2001. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

() Statutory basic filing fee () Utility () Design

() Additional claim fee of \$

(X) Missing Parts Surcharge \$65

() Petition for Extension of Time for reply to Notice of Missing Parts is attached.

() one month (\$55)

() two months (\$195)

() three months (\$445)

() four months (\$695)

(X) Attached is a check for \$65.00.

At any time during the pendency of this application, please charge any additional fees required or credit any overpayment to Deposit Account 50-1491 pursuant to 37 CFR 1.25.

() A duplicate copy of this transmittal letter is enclosed.

I hereby certify that this correspondence is being deposited
with the United States Postal Service as:

[] First Class Mail

[X] "Express Mail Post Office to Addressee" service
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"Express Mail" label no. ET579254802US

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Assistant Commissioner for Patents,
Washington, DC 20231

[] facsimile to the U.S. Patent and Trademark Office to
fax number (703) _____ on the date
shown below.

Date of Deposit or Transmission: September 27, 2001

Typed Name: Christie Cochran

Signature: Christie Cochran

Respectfully submitted,

By William W. Cochran II
Attorney/Agent for Applicant(s)
William W. Cochran II
Reg. No. 26,652

Date: September 27, 2001

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